Molecular Mechanisms of Inner Ear Development

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SUMMARY
The inner ear is a structurally complex vertebrate organ built to encode sound, motion, and orientation in space. Given its complexity, it is not surprising that inner ear dysfunction is a relatively common consequence of human genetic mutation. Studies in model organisms suggest that many genes currently known to be associated with human hearing impairment are active during embryogenesis. Hence, the study of inner ear development provides a rich context for understanding the functions of genes implicated in hearing loss. This chapter focuses on molecular mechanisms of inner ear development derived from studies of model organisms.

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1 INTRODUCTION

The inner ear originates from a thickening of the surface ectoderm next to the hindbrain known as the otic placode. This thickened epithelium quickly deepens to form a cup and then closes to form a vesicle. Over time the otocyst undergoes a series of morphogenetic events to give rise to the mature inner ear structure, which can be roughly divided into a dorsal vestibular and ventral auditory component in amniotes (Fig. 1) (Morsli et al. 1998). The vestibule consists of three orthogonally arranged semicircular canals and their associated sensory structures, the ampullae, housing the sensory tissue, referred to as cristae. Together this apparatus detects angular head movements. Linear acceleration is detected by the two otolithic organs, the maculae of the utricle and saccule, both of which are overlain by mineralized structures (otoconia) that serve as mass loads in the detection of gravity. Sound is perceived by the auditory component of the inner ear, which varies structurally by vertebrate phylum. In all mammals except monotremes, it exists as a coiled cochlear duct that extends between one and three-quarters to three and three-quarters turns depending on the species. Within the duct the sensory epithelium, known as the organ of Corti, forms a thin stripe that winds along its entire length. In birds the auditory component is a slightly curved tube named the basilar papilla.

By the otocyst stage, three primary cell fates—neural, sensory, and nonsensory—are presumed to have been established (Fig. 2). Cells fated to develop as neurons delaminate from the anteroventral region of the otic epithelium, migrate short distances, and then coalesce as neurons of the cochleovestibular ganglion (CVG). Cells fated to give rise to sensory patches, referred to as prosensory cells, develop as sensory hair cells and supporting cells. Finally, cells with nonsensory fates develop into structures with architectural, secretory, and/or absorptive functions, such as the endolymphatic duct and semicircular canals.

Induction of the otic placode is dependent on a number of events, beginning with the formation of a specialized region surrounding the neural plate known as the preplacodal region, which gives rise to all cranial placodes (Bailey and Streit 2006; Schlosser 2006). A number of factors such as fibroblast growth factors (FGFs) and antagonists of Wnts and bone morphogenetic proteins (BMPs) are known to be involved in establishing the preplacodal region, but the mechanisms that induce specific placodes within this region are not clear (Bailey and Streit 2006; Schlosser 2006).

At the preotic axial level, the region divides to form the otic and epibranchial placodes (Freter et al. 2008). Studies from the mouse, chicken, and zebrafish all indicate that otic placode induction is dependent on Wnts and FGFs provided by the surrounding tissues, even though the specific Wnts and FGFs, as well as their tissues of origin, are not consistently conserved among the three species (Ladher et al. 2000; Wright and Mansour 2003; Phillips et al. 2004; Wright et al. 2004; Ohyama et al. 2006; Freter et al. 2008).

After otic placode induction, the otic epithelium continues to be influenced by signaling information from its surrounding tissues in acquiring its positional identity along the anterior–posterior (AP), the dorsal–ventral (DV), and the medial–lateral (ML) axes. This dependency might extend beyond otocyst formation, because otocysts explanted to ectopic locations in vivo or in vitro do not develop into ears with reasonable morphology unless some periotic mesenchymal tissues, from which signals are emanated, are included in the transplant (Li et al. 1978; Corwin and Cotanche 1989; Swanson et al. 1990). This chapter summarizes what is currently known about the tissues and molecules that instruct inner ear formation.

Figure 1. Morphogenesis of the mouse inner ear. Lateral views of paint-filled, right inner ears from E10.75 to E17 (E, embryonic age). Abbreviations: aa, anterior ampulla; asc, anterior semicircular canals; cc, common crus; co, cochlear duct; csd, cochlear saccular duct; ed, endolymphatic duct; es, endolymphatic sac; hp, horizontal canal pouch; la, lateral ampulla; lsc, lateral semicircular canal; pa, posterior ampulla; psc, posterior semicircular canal; s, saccule; u, utricle; vp, vertical canal pouch. Orientations: A, anterior; D, dorsal. (Adapted from Morsli et al. 1998.)
Figure 2. Development of the neural–sensory domain. (A) Proposed derivatives of the NSD based on gene expression patterns and fate-mapping studies. The NSD originated in the anterior region of the invaginating otic placodes, where neuroblasts delaminate to form neurons of the CVG (red). This region also gives rise to macula of the utricle and saccule, as well as nonsensory regions (gray) between sensory patches and the GER of the cochlea. Three separate domains (pink with blue stripes) that form at the fringe or within a subdivision of the NSD at the otocyst stage give rise to the three cristae and organ of Corti. (B) Neural-competent cells expressing Ngn1 and Delta within the neural–sensory domain delaminate from the otic epithelium to form neuroblasts (red). Delta1 inhibits neighboring cells from developing into neuroblasts via lateral inhibition. The remaining sensory epithelium, including cells that once express Ngn1, develops into sensory hair cells (green), supporting cells (pink), and some nonsensory cells (gray). Asterisks represent genes expressed in a subpopulation of cells within the NSD. Abbreviations: ac, anterior crista; asc, anterior semicircular canal; CVG, cochleovestibular ganglion; ed, endolymphatic duct; es, endolymphatic sac; GER, greater epithelial ridge; lc, lateral crista; lsc, lateral semicircular canal; ms, macula of the saccule; mu, macula of the utricle; NSD, neural–sensory-competent domain; oc, organ of Corti; pc, posterior crista; psc, posterior semicircular canal; SG, spiral ganglion; VG, vestibular ganglion.
in amniotes, and illustrates how axial information drives cell-fate specification and formation of specific inner ear structures.

2 AXIAL SPECIFICATION

The axial identity of the inner ear is specified by signals arising from surrounding tissues, including the neuroectoderm, mesoderm, endoderm, and neural crest. A particular axis is specified when positional identity of ear tissues becomes insensitive to changes in extrinsic signals. The timing of this event in amniotes can be revealed using a surgical transplantation approach in chickens, whereby the consequences of inverting one specific axis of the ear rudiment relative to the axes of the host body were assessed following the replacement of an ear rudiment with a contralateral counterpart. The main conclusion from these experiments is that axial specification of the inner ear is a progressive event. The first axis to be specified appears to be the AP axis, at least in terms of the sensory tissues, which occurs well in advance of the DV axis (Wu et al. 1998), but axes for the nonsensory tissues are specified later and independently of the sensory tissues. These multiple steps of axial specification imply a gradual restriction of cell fates over time. Therefore, identifying the timing of axial specification of the inner ear tissue will inform us of when extrinsic signals must be present, and may provide insights into the molecular cascades that accompany the formation of this complex organ.

2.1 Anterior–Posterior Axis

The first clear indication of AP asymmetry of the chicken and mouse ear rudiment is the regionalized expression of Lunatic fringe (Lfng), Fgf10, Six1, and Sox2, strongly in the anterior but more weakly and diffusely in the posterior region of the otic cup (Fig. 2) (Morsli et al. 1998; Cole et al. 2000; Zheng et al. 2003; Alsina et al. 2004; Kiernan et al. 2005). The anterior region is the neural–sensory-competent domain (NSD) (Fekete and Wu 2002). The most anterior part of the NSD contains Neurogenin1-positive (Ngn1) cells that are fated to leave the otic epithelium as neural precursors and form the CVG. The posterior otic region gives rise to mostly nonsensory tissues and only one sensory organ: the posterior crista.

Recent studies in both chicken and mouse suggest that retinoic acid (RA), a critical morphogen for early embryogenesis, is required for conferring anterior and posterior identities to the inner ear (Bok et al. 2011). The expression of an RA reporter, RARE-lacZ (retinoic acid response element driving lacZ), highlights different RA responsiveness between anterior and posterior halves of the otic cup (Fig. 3A). Moreover, this wave of RA responsiveness regresses caudally away from anterior regions when the otic cup closes. This shift in RA responsiveness is attributed, in part, to caudally shifting expression domains of the RA synthetic and degradation enzymes, Raldh2 (retinaldehyde dehydrogenase 2) and Cyp26 (cytochrome P450–associated RA catabolizing enzyme), respectively (Fig. 3B) (Reijntjes et al. 2004; Sirbu et al. 2005). Gain- and loss-of-function studies in both chicken and mouse collectively indicate that cells in the anterior otic region that are exposed only briefly to RA give rise to neurons and most sensory organs of the inner ear, whereas prolonged exposure and/or higher levels of RA in the posterior otocyst promote formation of nonsensory structures (Bok et al. 2011). Consistent with this inference, local application of RA on the anterior side of the developing otic cup in chicken resulted in an inner ear with two mirror-image posterior domains. Furthermore, one of the key effectors of high RA signaling in the otic epithelium may be the T-box transcription factor Tbx1 (Bok et al. 2011), which was shown to function as a negative regulator of otic neurogenesis (the anterior tissue fate) along the AP axis (Raft et al. 2004). These findings lend support to a compartment-boundary model of cell-fate specification for the inner ear (Fekete 1996).

The RA synthesizing and degradation enzymes responsible for the differential RA response of the ear rudiment are likely to be Cyp26Cl, which is expressed in ectoderm anterior to the ear rudiment, and Raldh2, which is expressed in the somites posterior to the otocyst (Fig. 3B) (Reijntjes et al. 2004). Although other RA degradation enzymes are also expressed in rhombomeres adjacent to the ear rudiment, hindbrain rotation experiments rule out their role in AP patterning of the chicken inner ear (Bok et al. 2005). In mice the otic cup also responds differentially to RA along its AP axis (Fig. 3A), although no RA degradation enzyme has yet been identified in the ectoderm adjacent to the ear primordium (MacLean et al. 2001; Tahayato et al. 2003). Therefore, although the requirement of RA in AP patterning seems to be conserved between mice and chicken, the tissues that elicit the differential RA response of the otic epithelium may be different. A gradient of RA signaling has also been implicated in patterning the hindbrain rhombomeres in mice (Sirbu et al. 2005). Thus, it is likely that dynamic RA signaling coordinates the AP patterning of multiple structures in this part of the embryo (Fig. 3B). In zebrafish RA has been shown to restrict the extent of the anterior neurogenic domain through the suppression of neurogenic genes by tbxl and her9, a zebrafish ortholog of Hes1 (Radosevic et al. 2011). Whether cells within the inner ears of zebrafish are responding differentially to RA along the AP axis is not clear.
Besides RA, FGF8, which is expressed in the ectoderm anterior to the ear primordium in chicken, has also been implicated in the establishment of the neurogenic (anterior) fate via up-regulation of Sox3 (Abello et al. 2010). On the other hand, knocking down BMP signaling also suggests a role for BMPs in mediating the nonneurogenic (posterior) fate (Abello et al. 2010). Because FGF and RA are shown in other systems to act antagonistically (Diez del Corral and Storey 2004; Marklund et al. 2004), FGF8 may diminish the responsiveness of the anterior otic region to RA.

2.2 Dorsal–Ventral Patterning

In contrast to AP patterning, DV specification is dependent on ectoderm-derived signals from the hindbrain in both chicken and mice (Giraldez 1998; Bok et al. 2005; Riccomagno et al. 2005). DV inversion of a segment of the hindbrain adjacent to the ear rudiment in chicken is sufficient to override potential DV signaling from other tissues and activate ventral genes in dorsal otic tissues (Bok et al. 2005). To date, Wnts secreted from the dorsal hindbrain and Sonic hedgehog (Shh) secreted from the ventral floor plate and notochord have been implicated in patterning of the inner ear DV axis (Fig. 3B). However, the weight of evidence suggests that other unidentified signals originating from the hindbrain are involved as well (Riccomagno et al. 2005; Bok et al. 2007b). For example, BMPs are likely to act as dorsal signaling molecules for the inner ear, although direct experimental proof of this is lacking at present.

The Shh signaling pathway has been well studied (Ribes and Briscoe 2009; Murdoch and Copp 2010) and plays an important role in ventral identities. One of its major actions in target cells is believed to be regulation of the transcription factor Gli3. In the absence of Shh, the Gli3 protein is cleaved and its amino-terminal portion functions as a transcription repressor. However, in the presence of Shh, Shh binds to its receptor Patched, which removes an inhibitory effect on the transmembrane protein Smoothened. As a result, a number of intracellular signaling cascades are initiated that maintain full-length Gli3 protein, which then functions as a transcription activator. Based on several mutant mouse analyses and ablation studies in chicken, it...
was proposed that Shh secreted from the ventral midline generates opposing gradients of Gli3 repressor and Gli2 and Gli3 activators in the otic epithelium, which pattern various inner ear structures along the DV axis (Fig. 4) (Bok et al. 2007c). The most apical region of the cochlear duct requires the highest level of Shh to induce Gli2 and Gli3 activators. The utricle, saccule, and proximal region of the cochlear duct require relatively lower levels of Shh to alleviate the Gli3 repressor function. In contrast, dorsal structures such as the semicircular canals require little Shh and instead are dependent on Gli3 repressor activity for proper formation (Bok et al. 2007b; Brown and Epstein 2011). The upstream activator of Gli3 transcription is not known. In the neural tube, where similar Gli3 repressor and Gli activator gradients are believed to pattern the DV axis of the neural tube, there is evidence that transcription of Gli3 is activated by Wnts (Alvarez-Medina et al. 2008). Whether Gli3 is induced by Wnts in the inner ear remains to be explored.

Wnts from the dorsal neural tube have been implicated in otic DV patterning in addition to their earlier role in specifying the otic placode fate (Ohyama et al. 2006; Jayasena et al. 2008). After otic placode specification, there is a continual requirement for Wnts in the DV patterning of the inner ear, based on studies using lithium chloride treatments in otic-hindbrain explant cultures (Riccomagno et al. 2005). Lithium chloride, a Wnt/β-catenin pathway agonist, is able to restore, in part, otocyst gene expression patterns altered by ablation of the dorsal neural tube in otic-hindbrain explants.

Wnt1 and Wnt3a are expressed in the dorsal neural tube but not in the otic placode or otocyst. Ears from Wnt1/ Wnt3a double-knockout mice are rudimentary and cystic in structure, indicating that the affected areas are not limited to the dorsal region (Fig. 4) (Riccomagno et al. 2005). These severe phenotypes may be caused by an earlier requirement of Wnts in placode specification, even though Wnt1 or Wnt3a expression is low in the hindbrain during the time of otic placode induction (Parr et al. 1993). Nevertheless, results from genetic fate mapping of cells responding to Wnt signaling in the mouse otocyst using Topgal transgenic mice clearly indicate that the dorsal region of the otic cup and otocyst are responsive to Wnt signaling (Fig. 5A, a,b). It is also possible to track the fates of Wnt-responsive otocyst cells over time by crossing Rosa-lacZ, a Cre reporter strain, with the TopCreERT2 strain. Administration of tamoxifen at specific time points causes Wnt-responsive cells harboring both alleles to permanently express lacZ. Administering tamoxifen between embryonic day (E) 8.5 and 8.75 followed by examination at E9.5 indicates that X-Gal-positive cells span most of the medial wall of the otocyst (Fig. 5A, d) (Riccomagno et al. 2005), covering a much broader area than would be predicted by the TopCreERT2 mRNA or Topgal expression domains (Fig. 5A, a–c). This broader X-Gal-positive domain in TopCreER/Rosa-lacZ inner ears suggests that cells that received Wnt signaling in the dorsal otic region at an earlier age have become displaced or have migrated ventrally by the otocyst stage (Fig. 5A, d, arrows). Thus, the genetic fate-mapping results are consistent with the inner ear phenotypes of Wnt1/Wnt3a double mutants, which involve more than the dorsal region.

In the zebrafish inner ear, hedgehog signaling has been implicated in AP rather than DV patterning (Hammond et al. 2003). Loss of hedgehog signaling resulted in inner ears with a mirror-image duplication of two anterior halves, whereas gain of hedgehog signaling resulted in double posterior ears. More recent results show that in addition
to the double posterior phenotypes, too much hedgehog signaling also affects DV and ML patterning, thus narrowing the differences between fish and amniotes (Hammond et al. 2010). For example, there is an increased prevalence of a missing posterior canal phenotype in $\text{Shh}^{2/2}$ ears (Brown and Epstein 2011), suggesting that the posterior canal is more sensitive to a lack of Shh signaling than the anterior canal. Consistently, in mutants that presumably have too much Shh signaling such as Extra-toes, in which $\text{Gli3}$ encodes a functional null protein, the anterior canal is truncated (Bok et al. 2007c). Therefore, these canal phenotypes in mice resemble those in the zebrafish, in that too much or too little hedgehog signaling affects anterior and posterior otic patterning, respectively.

2.3 Medial–Lateral Axis

Far less is known about how the ML axis of the ear is specified. Hindbrain signaling is involved, and this signaling is believed to be mediated, at least in part, through $\text{Gbx2}$ expressed in the otic epithelium (Lin et al. 2005; Choo et al. 2006). Also, it has been suggested that the medial otic region may be the first to be specified, followed by AP, DV, and the lateral axis (Bok et al. 2007b). This notion is largely based on early initiation of genes such as $\text{Pax2}$ and $\text{Gbx2}$ at the otic placode stage, which subsequently are associated with the medial half of the developing otocyst. However, there is no direct experimental evidence that pinpoints the timing of medial or lateral axial specification. Rotating the ML axis of an otocyst resulted in malformed ears with mixed expression patterns of medial and lateral genes that were difficult to interpret (Wu et al. 1998). This is partially attributed to the technical difficulty in generating a precise ML inversion owing to the geometry of the newly formed otocyst, which is more like the shape of a covered bowl (flat on the lateral surface) than a perfect sphere (Fig. 5A).

Further information about the origin of the cells in the lateral otic region comes from a fate-mapping study using
lipophilic dyes to follow the fate of cells at the rim of the chicken otic cup (Brigande et al. 2000). This study shows that as the otic cup closes to form a cyst, the lateral wall of the otocyst is primarily composed of cells that originated from the ventral posterior rim of the otic cup at the 6, 7, and 8 o’clock positions (Fig. 5B). After otic cup closure, the most dorsal region of the otocyst is displaced medially to form the endolympathic duct (Fig. 5B, tan), whereas the lateral wall mainly gives rise to the vertical and horizontal pouches that form the three semicircular canals (Fig. 5B, light blue). Thus, it is conceivable that inner ears with an early failure in dorsal or medial specification may have additional malformations beyond the primary defective region.

Early ML specification of the inner ear may also be involved in the specification of vestibular and auditory neuronal fates. After neuroblasts delaminate from the NSD of the otic cup and otocyst, they coalesce to form the CVG, which subsequently splits to form the dorsal vestibular and ventral auditory ganglia (spiral ganglion in mammals). It is not clear when vestibular and auditory neuronal fates are specified, and several lines of evidence suggest that this specification may be an early event before neuroblasts leave the otic epithelium. Fate-mapping results from both chicken and mouse suggest that vestibular neuroblasts are first to delaminate from the lateral region of the NSD, whereas auditory neuroblasts delaminate slightly later from the medial region (Koundakjian et al. 2007; Bell et al. 2008). The two neurogenic regions within the otic epithelium where neuroblasts exit are also molecularly distinct from each other; the vestibular region is 
Fgf3-positive, whereas the auditory region is Gata3- and Lmx1a-positive (Lawoko-Kerali et al. 2004; Koo et al. 2009). Furthermore, in the absence of Lmx1a, the vestibular neurogenic marker Fgf3 is expanded medially, and there is an increase in the production of vestibular neurons as a result (Koo et al. 2009). Therefore, the vestibular and auditory neuroblasts may acquire their fates based on their position of origin within the developing ML axis of the inner ear.

3 SPECIFICATION OF NEURAL AND SENSORY FATES

3.1 Extrinsic Signals Regulating the Neural–Sensory Domain

Specification of the three primary axes dictates the formation of all inner ear structures. In terms of the NSD, RA signaling imparts AP differences and establishes the NSD in the anterior otic region. Whereas ML axial signaling may determine the type of neurons generated in the NSD, one of the roles of DV signaling is likely to restrict the NSD domain to the ventral otic region, an effect that is mediated in part by a balance of Wnts and Shh signaling (Figs. 2–4) (Riccomagno et al. 2005; Ohyama et al. 2006; Freyer and Morrow 2010; Brown and Epstein 2011).

3.2 Specification of Cell Fates

At the otic cup stage, the NSD is loosely defined by a number of overlapping gene expression domains such as Lfng, Sox2, Eya1, and Six1 (Fig. 2). Whereas deletion of Lfng has no obvious effect in the inner ear (Zhang et al. 2000), Sox2-, Eya1-, and Six1-null inner ears all have both neural and sensory defects (Xu et al. 1999; Zheng et al. 2003; Ozaki et al. 2004; Kiernan et al. 2005; Zou et al. 2008). Finally, deletion of the Notch ligand Jagged1 or the Notch effector Rbpj leads to significant sensory defects, although similar or reciprocal effects on neuronal development have not been confirmed (Brooker et al. 2006; Basch et al. 2011; Yamamoto et al. 2011).

Ngn1, which encodes a basic helix-loop-helix region (bHLH) transcription factor, is one of the first molecular markers associated with the neurogenic fate in the inner ear (Ma et al. 1998, 2000). Ngn1 expression is followed by the initiation of NeuroD, which also encodes a bHLH transcription factor, and the neurogenic cells soon delaminate from the otic epithelium to form the neurons of the CVG. These neural-fated cells also express Delta1, a ligand of the Notch signaling pathway, which is postulated to function by inhibiting the neighboring cells from developing into neuroblasts (Adam et al. 1998; Brooker et al. 2006). The remaining cells within the sensory epithelium are believed to gradually segregate over time to form various sensory organs consisting of sensory hair cells and supporting cells (Fig. 2).

3.3 Relationships between the Neural and Sensory Domains

Fate-mapping studies using replication-incompetent retroviruses in chicken provided evidence for a common lineage between neurons in the CVG and sensory cells in the utricle (Satoh and Fekete 2005). More recent genetic fate mapping of the Ngn1-expressing cells in mice indicate that sensory organs of both the utricle and saccule share a lineage relationship with neurons of the CVG (Fig. 2) (Raft et al. 2007). In addition, some nonsensory regions between the two sensory organs and cells in the greater epithelial ridge of the cochlear duct also share the same lineage. Based on the various cell types that are derived from the Ngn1-positive lineage, Ngn1 fits the criteria of functioning as a proneural gene in the inner ear, expressed broadly in a proneural domain initially and then subsequently restricted to a subset of neural precursor cells (Raft et al. 2007). Moreover,
enforced expression of Ngn1 in the greater epithelial ridge is sufficient to induce a neuronal fate (Puligilla et al. 2010).

Despite the lineage relationship between the neurons and the maculae, there is no direct evidence that the organ of Corti and the three cristae are derived from the same lineage progenitors as the maculae. Taken at face value, these lineage results suggest that there could be an early subdivision of the NSD into a neural–sensory macula domain and other sensory compartments comprising the organ of Corti and three cristae. The early expression of Bmp4 only in the prospective cristae also supports the notion of an early subdivision within the NSD (Morsli et al. 1998).

4 FORMATION OF SEMICIRCULAR CANALS AND CRISTAE

4.1 Extrinsic Signaling

The three sensory cristae and their associated semicircular canals constitute the apparatus for detecting angular head movements. Based on the Wnt1/Wnt3a double-mutant phenotypes, Wnts from the dorsal hindbrain are important extrinsic signals for canal and crista formation (Fig. 4) (Riccomagno et al. 2005). Dlx5 is one of the downstream genes that respond to Wnt signaling, and the lack of Dlx5 affects canal and crista formation (Acampora et al. 1999; Depew et al. 1999; Merlo et al. 2002; Riccomagno et al. 2005). On the other hand, Hmx3, which is also required for canal formation (Hadrys et al. 1998; Wang et al. 1998, 2004), appears to be regulated by FGF rather than Wnt signaling (Riccomagno et al. 2005; Urness et al. 2010).

Shh from the ventral hindbrain and notochord also seems to be required for canal formation because the lateral canal is missing and the shape of the anterior and posterior canals is abnormal in Shh−/− mutants (Fig. 4). However, more recent data suggest that this requirement of Shh for canal formation is a secondary effect, because inner ears of mice with conditional knockout of Smoothened within the otic epithelium have normal canals (Brown and Epstein 2011).

The mesenchyme surrounding the developing inner ear is important for shaping the canals, although the mechanisms involved are largely unexplored. Surgical manipulations in ovo wherein posterior otic mesenchyme is replaced with anteriorly derived mesenchyme resulted in inner ears with a posterior canal and cristae with anterior characteristics (Liang et al. 2010). Conversely, otic cups surrounded by only posterior otic mesenchyme sometimes develop as inner ears in which the anterior canal has posterior characteristics (Liang et al. 2010). Although the molecules involved are not known, studies in mice have implicated several mesenchymal genes in canal formation, such as Pou3f4 (also known as Brn4) and Prx (ten Berge et al. 1998; Phippard et al. 1999; Sobol et al. 2005).

4.2 Patterning of the Canals and Cristae

The three canals and their cristae are derived from two evaginations of the otocyst, the vertical and horizontal canal pouches (Fig. 1). The vertical canal pouch gives rise to the anterior and posterior canals, and the horizontal canal pouch forms the lateral canal. As the canal pouches increase in size, the opposing epithelia in the center portion of the structures merge toward each other and form a fusion plate. Cells forming the fusion plate eventually are resorbed (Fig. 6) (Martin and Swanson 1993), and as a result, the remaining edge of the pouch develops into a tube-shaped canal. The common crus, a connecting structure between the anterior and posterior canals, also forms as a result of this resorption process (Figs. 1 and 6).

Fate mapping the rim of the vertical canal pouch in chicken embryos using lipophilic dyes suggested that a majority of the cells contributing to the canals originated in an area adjacent to the presumptive cristae, thus named the canal genesis zone (Fig. 6, dark blue) (Chang et al. 2004). Most of the cells in the canal pouch proper give rise to the common crus or disappear during resorption (Fig. 6, light blue). This unusual pattern of growth may be the mechanism by which a sensory tissue, the crista, dictates formation of its functionally associated nonsensory component, the canal. Although the hypothesis that sensory tissues induce formation of nonsensory structures is gaining support (Cantos et al. 2000), understanding of the molecular pathways involved remains incomplete. It is believed to involve Fgf genes and Bmp4 emanating from the presumptive cristae and Bmp2, which is expressed in the canal genesis zone (Chang et al. 1999, 2004, 2008; Gerlach et al. 2000). More recent data show that the vertical canal pouch responds to BMP signaling by changing the cells on its dorsolateral wall from a columnar to a squamous shape, thus expanding and increasing the size of the pouch (Ohta et al. 2010). Whether these cells respond to BMP signaling secreted from the hindbrain or within the otic epithelium, or both, is not clear. Furthermore, the molecular mechanism of the resorption process is also poorly understood, even though genes such as Netrin1 and Fgf9 are involved (Salminen et al. 2000; Pirvola et al. 2004).

Considering the complexity of this developmental process and the many genes that are involved in the regulation of canal formation, it is often difficult to pinpoint specific roles for those genes based on phenotypes alone (Chang et al. 2003). For example, the lack of proper crista specification will certainly affect canal formation (Kiernan et al. 2005; Chang et al. 2008). Failure to specify the canal pouch...
or, more specifically, the rim of the canal pouch will also result in the absence of canals (Fig. 6). Furthermore, a reciprocal inhibition between the prospective canal and resorption regions has been shown (Abraira et al. 2008). Lrig3, an immunoglobulin superfamily transmembrane protein, has been shown to negatively regulate Netrin1 expression in the resorption domain. In Netrin1 mutants, Lrig3 expression remains expanded and is not properly restricted to the rim of the canal pouch, suggesting that Netrin1 also negatively regulates Lrig3. Based on this type of reciprocal inhibitory relationship between the rim and center regions of the canal pouch, aberrant regulation of these domains could lead to a range of phenotypes, including no resorption, canal truncation (too much resorption), or canals with larger or smaller calibers. Mice with truncations or thinning of canals commonly display behavioral deficits (Ponnio et al. 2002; Adams et al. 2007; Vervoort et al. 2010). In humans Goldenhar syndrome and superior canal dehiscence are examples of syndromes associated with canal defects of unknown etiology; further work elucidating canal formation in model organisms may begin to identify the causes of these and other human syndromes.

5 FORMATION OF THE COCHLEAR DUCT

5.1 Extrinsic Factors

Multiple extrinsic factors govern proper outgrowth and extension of the cochlear duct. One clear example is Shh emanating from the notochord and floor plate. Similar

Figure 6. Model of semicircular canal formation. The growth of the canal pouch is promoted by a canal genesis zone (dark blue area), which is induced by the presumptive cristae (black circles). The canal genesis zone gives rise to most of the cells in the canals (blue) and some cells within the common crus (blue dots). The center region of each prospective canal (tan) forms a fusion plate that resorbs, leaving behind two canals joined by the common crus. The bottom panel shows examples of a number of mutant phenotypes. Failure to induce proper growth of the canal pouch or in specification of the resorption domain can result in only a canal pouch, such as in Netrin1 and Fgf9 mutants. Expansion of the resorption domain, as in the case of Lrig3 nulls, or failure to specify the rim of the canal pouch can lead to absence or truncation of the canal, or possibly a canal with smaller caliber.
ventral phenotypes occur in Shh−/− ears and ears in chicken embryos in which the ventral midline has been ablated, including agenesis of the cochlear duct (Riccomagno et al. 2002; Bok et al. 2005). In addition, a later and closer source of Shh from the spiral ganglion has been implicated in restricting the prosensory domain within the developing cochlea (Fig. 7) (Driver et al. 2008). These results also illustrate how primary axial information specification can be subsequently reinforced by other tissue sources of the same or different signaling molecules.

The proper alignment of the hindbrain with the ear rudiment is important for cochlear duct formation (Bok et al. 2007a; Liang et al. 2010). Additionally, it is well established that a mesenchymal contribution to cochlear duct formation is also important (Montcouquiol and Kelley 2003). Two transcription factors, Tbx1 and Pou3f4, of which the latter is expressed only in the otic mesenchyme, have been implicated so far. Lack of Tbx1 or Pou3f4 in the otic mesenchyme can lead to abnormal coiling or shortening of the cochlear duct, and these two pathways have been shown to interact genetically (Phippard et al. 1999; Braunstein et al. 2008, 2009). One possible mediator of these effects is RA, as both of these transcription factors are believed to induce expression of the RA degradation enzyme Cyp26 in the periotic mesenchyme (Braunstein et al. 2009).

5.2 Formation of the Organ of Corti

As the cochlear duct extends, it is regionalized into specialized domains such as the organ of Corti, the stria vascularis, and Reissner’s membrane. In the mouse, outgrowth of the cochlear duct first becomes evident at approximately E11. Before E12.5 all of the epithelial cells that comprise the floor of the duct have a similar morphology; however, even at these early time points, a subset of cells express the prosensory markers Jag1, Sox2, and Lfng (Fig. 7). Factors that limit expression of prosensory markers to this subset of cells are still not completely understood, but recent results have suggested that both BMP4 and Shh could act to inhibit prosensory formation outside of the longitudinal stripe (Fig. 7) (Driver et al. 2008; Ohyama et al. 2010). Beginning around E13 prosensory cells begin to express \( p27^{kip1} \), a cell cycle inhibitor, in a gradient that begins in the apex of the duct and extends toward the base over approximately a 24-h period (Fig. 7) (Chen and Segil 1999). This wave of expression leads to terminal mitosis within the prosensory domain. Deletion of \( p27^{kip1} \) results in several interesting changes in the development of the cochlea, including an overproduction of both hair cells and supporting cells. Surprisingly, this overproduction of cells leads to deafness, suggesting that more sensory cells do not make a better cochlea.

Soon after expression of \( p27^{kip1} \) reaches the base of the cochlear duct, the first signs of cellular differentiation, which extends in an opposite gradient to the wave of cell cycle exit, begins in the base of the duct and extends toward the apex (Anniko 1983).

5.3 Role of Atoh1 in Hair Cell Formation

The earliest known gene expressed in the prosensory domain eventually associated with sensory hair cells is the bHLH transcription factor Atoh1, which is first detected as a gradient that is strongest near the cochlear base and over time extends toward the apex (Anniko 1983; Lanford et al. 2000). As development proceeds, Atoh1 expression is restricted to cells that will develop as hair cells. Although the targets of Atoh1 in developing hair cells are largely unknown, Atoh1 is known to bind to its own promoter region to initiate a positive-feedback loop that will act to maintain or increase expression of the gene (Helms et al. 2000). Recent work using new techniques to assess gene expression and Atoh1–DNA interactions in both the cerebellum and dorsal spinal cord has led to the generation of “Atoh1 targetomes” (Klisch et al. 2011; Lai et al. 2011) that include genes such as Barhl1 and Hes6 that are expressed in hair cells; however, whether Atoh1 acts to directly regulate these genes within the inner ear remains to be determined. Considering the importance of regulating the number of cells that develop as hair cells, it is likely that multiple levels of regulatory control determine how many cells will express Atoh1.

Several factors that positively or negatively regulate Atoh1 have been identified. Sox2, which is expressed in all prosensory regions, is required for Atoh1 expression. However, Sox2 also seems to negatively regulate Atoh1, as prolonged expression of Sox2 inhibits the ability of Atoh1 to induce hair cell formation, whereas decreased expression of Sox2 leads to an increase in hair cell formation (Dabdoub et al. 2008). Recent studies in cell lines have suggested that the canonical Wnt signaling pathway can act to induce Atoh1 expression as a result of direct binding to a Tcf/Lef-binding site within the Atoh1 promoter region (Shi et al. 2010). Although not confirmed in the inner ear, these results would suggest that Wnt signaling acts as a positive regulator of Atoh1 expression.

Other regulators of Atoh1 are the Ids (inhibitors of differentiation), a family of bHLH-related genes that act as antagonists of other bHLH genes (Norton 2000). Three of the four mammalian Id genes, Id1, Id2, and Id3, are broadly expressed in the developing cochlear duct but become down-regulated in cells that will develop as hair cells (Jones et al. 2006). Moreover, forced persistent expression of Id3 leads to an inhibition of hair cell formation, indicating that Ids act to negatively regulate Atoh1.

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Figure 7. Development of the cochlear duct. Schematic cross sections through the base of the cochlear duct at the indicated ages. At E12 the prosensory domain, as defined by expression of \( \text{Jag1/Sox2/Lfng} \), is already present, as is a domain of expression of \( \text{Bmp4} \) located at the lateral edge of the duct. There is limited overlap between the two domains. In addition, developing spiral ganglion neurons are located near the medial edge of the duct. At E13 developing spiral ganglion neurons begin to express Sonic hedgehog (Shh), which is believed to diffuse into the medial side of the duct, and \( \text{p27^{kip1}} \) is expressed in a subset of the \( \text{Jag1/Sox2/Lfng} \)-positive cells. BMP4 and Shh are believed to restrict sensory formation to the middle of the duct. At E14 \( \text{Atoh1} \) expression begins in a subset of the \( \text{p27^{kip1}} \)-positive cells. By E16 \( \text{Atoh1} \) expression is resolved to hair cells, and \( \text{Fgfr3} \) is expressed in developing pillar and Deiters’ cells. At postnatal day 0 (P0), cellular patterning is essentially complete. \( \text{Atoh1} \)-positive hair cells are surrounded by supporting cells and spiral ganglion neurons no longer express Shh. Abbreviations: IHC, inner hair cells; IP, inner pillar cell; KO, Kolliker’s organ; OC, organ of Corti; OP, outer pillar cell.
The best-characterized pathway involved in the regulation of hair cell development and Atoh1 expression is the Notch signaling pathway. Notch receptors and their ligands are both transmembrane proteins and therefore can only bind in cells that are directly in contact with one another. Classic work in *Drosophila* described a signaling loop in which the onset of expression of a proneural bHLH molecule leads to expression of a Notch ligand, which binds to the Notch receptor in neighboring cells, leading to expression of members of a family of inhibitory bHLHs, which then prevent expression of the original proneural bHLH within that cell (Fiuza and Arias 2007). Using this signaling pathway, equipotent progenitor cells can be sorted into the multiple cell types that are required to generate most organs or tissues.

Within the inner ear, localization studies showed expression of Notch1 throughout the epithelium and expression of two Notch ligands, Jagged2 and Delta-like1, in developing hair cells (Lanford et al. 1999; Morrison et al. 1999). Moreover, several inhibitory bHLHs, including Hes5 and Hey1, are expressed in developing supporting cells (Lanford et al. 2000; Zine et al. 2001; Hayashi et al. 2008; Doetzlhofer et al. 2009). Deletion of different members of the pathway results in varying increases in the number of hair cells, an effect that is very consistent with classic Notch-mediated lateral inhibition (Lanford et al. 1999; Zine et al. 2001; Kiernan et al. 2006).

### 5.4 Development of Supporting Cells

All inner ear sensory epithelia contain both hair cells and supporting cells. Whereas hair cells have very distinct morphologies and act in many ways like neurons, supporting cells are like glia: They surround and support the hair cells. However, the nature and developmental regulation of supporting cells is less well understood in comparison with hair cells. In vestibular structures supporting cells appear very similar to unspecialized epithelial cells, whereas in the cochlea they take on several unique morphologies, including pillar cells and Deiters’ cells. Experiments in which ectopic hair cells are formed in nonsensory regions of the ear show that hair cells generate signals, as yet unidentified, that induce support cell formation (Woods et al. 2004). In terms of the formation of the pillar cells, the unique cell types that give rise to the tunnel of Corti, the FGF signaling pathway has been shown to regulate both the number and position of these cells. Before pillar cell formation, Fgfr3 is expressed in the population of cochlear progenitor cells that will develop as pillar cells, outer hair cells, and Deiters’ cells (Fig. 7) (Mueller et al. 2002; Jacques et al. 2007). At the same time, developing inner hair cells express FGF8, a ligand with a strong binding affinity for FGFR3. Deletion of either Fgf8 or Fgfr3 leads to a defect in pillar cell development, whereas deletion of Sprouty2, a molecule that has been shown to act as an FGF antagonist and is expressed in the cochlea, leads to an overproduction of pillar cells (Shim et al. 2005). These results are consistent with the hypothesis that FGF8 secreted by inner hair cells binds to and activates FGFR3 in adjacent cells, leading to the formation of pillar cells.

In addition to inducing prosensory cells to develop as pillar cells, FGF8/FGFR3 signaling also acts to prevent these same cells from developing as hair cells (Hayashi et al. 2007; Puligilla et al. 2007). This effect is mediated through activation of the inhibitory bHLH Hey2, a gene that is normally regulated through the Notch pathway. Interestingly, deletion of Hey2 alone does not lead to any changes in cell fate, but when Hey2 is deleted along with inhibition of Notch signaling, pillar cells will convert into outer hair cells (Doetzlhofer et al. 2009).

### 5.5 MicroRNAs and Cochlear Development

MicroRNAs (miRNAs), single-stranded RNAs with a length of approximately 21 nucleotides in their active form, have recently been identified as important regulators of many developmental processes, including the inner ear (Soukup et al. 2009; Li and Fekete 2010). miRNAs hybridize with specific RNA sequences in the 3’ untranslated regions (UTRs) of different mRNA transcripts, which leads to inhibition of protein synthesis. Although the sequence of each miRNA can be used to predict which UTRs it will bind to, these predictions are imperfect, making it difficult to identify which genes will be regulated by a particular miRNA. At least 100 miRNAs are expressed in the developing cochlea (Elkan-Miller et al. 2011). Most experimental work has been focused on the miR-183 family, which contains three members, miR-96, miR-182, and miR-183 (Li and Fekete 2010; Weston et al. 2011). Mutations in miR-96 lead to deafness in both humans and mice, with analysis of the Diminuendo (A-to-T change in the seed region of miR-96) mouse indicating an arrest in the maturation of hair cells at about the time of birth (Kuhn et al. 2011). Similar mutations in the seed region of human miR-96 lead to progressive nonsyndromic deafness. Gain-of-function experiments in zebrafish have shown that increased expression of miR-183 family members can lead to enlarged or duplicated otocysts as well as increased numbers of hair cells (Li and Fekete 2010). The specific genes within the inner ear that are regulated by miR-96 or miR-183 have not been determined yet. Approximately 700 genes contain UTR sequences that are a perfect match to the miR-96 seed region, but only five, Aqp5, Celsr2, Myrip, Odf2, and Ryk, have been examined in either humans or mice. And only
two of these genes, Aqp5 and Celsr2, were up-regulated in cochlear tissue from mutant mice (Lewis et al. 2009). Therefore, although the mechanisms for these changes are not yet clear, the results clearly demonstrate important roles for miRNAs in regulating inner ear development.

5.6 Planar Cell Polarity in the Inner Ear

One of the most striking aspects of the mammalian cochlea, or any other hair cell epithelia, is the uniform orientation of stereociliary bundles. In the cochlea all stereociliary bundles are oriented toward the lateral edge of the epithelium, whereas vestibular epithelia typically contain a reversal zone in which the orientation of the bundles rotates by 180° (Fig. 8A,B). The uniform alignment of a cellular structure within a plane of epithelial cells is referred to as planar cell polarity (PCP). PCP has been studied extensively in Drosophila development; however, the inner ear represents one, if not the best, example of PCP within a vertebrate system. All epithelial cells, including developing hair cells, have a single true cilium located on their luminal surface. As stereociliary bundle development begins, this cilium, also known as the kinocilium, begins to migrate from the center of the luminal surface toward the cell’s outer edge (Fig. 8C). The direction of this migration typically approximates the final orientation of the bundle, usually within 45° of the final orientation (Cotanche and Corwin 1991; Denman-Johnson and Forge 1999). As the kinocilium migrates, adjacent located microvilli begin to extend and form the individual stereocilia within the bundle. By the time the kinocilium reaches the outer edge of the luminal surface, an immature stereociliary bundle can also be identified.

As mentioned above, a set of core genes has been identified that seem to regulate all instances of PCP in Drosophila. Although mouse mutants for all of these genes are not yet available, data from the existing models clearly demonstrate a role for these factors in stereociliary bundle orientation. Vangl1 and Vangl2, Celsr1, and Fz3 and Fz6 are all transmembrane proteins that when mutated lead to defects in stereociliary bundle orientation (Curtin et al. 2003; Montcouquiol et al. 2003; Wang et al. 2006; Torban et al. 2008); mutations in Dvl1 to -3, which are located in the cytoplasm, also disrupt bundle orientation (Etheridge et al. 2008). Protein localization studies have shown asymmetric membrane targeting of Vangl2, Fz3, and Fz6 to the medial membranes of cochlear hair cells, whereas Dvl1 to -3 are localized to the lateral sides of the same hair cells (Fig. 8D).

Although the asymmetric localization of these proteins is believed to be a crucial step in the determination of PCP, recent results regarding the localization of Prickle2, an additional cytoplasmic core protein, in vestibular sensory epithelia have confused this issue somewhat (Deans et al. 2007). Based on the studies described above, a switch in the asymmetric localization of Prickle2 would be predicted to occur at the reversal zone, but this is not the case. Prickle2 is restricted to the same side of the cell across the entire sensory epithelia, even though the orientation of the stereociliary bundles is rotated by 180°. These results show that
asymmetric localization of PCP proteins is not directly correlated with the location of the stereociliary bundle, and suggest that although localization of PCP proteins indicates inherent cellular asymmetry, this localization may not directly regulate the location of the stereociliary bundle.

Frizzled and Disheveled gene products have been shown to act as receptors (Frizzled) and intracellular mediators (Dishevelleds) of the Wnt/wingless (wg) ligands. This has led to the suggestion that Wnts could play a role in regulating PCP in vertebrates, although mutations in wg do not lead to PCP defects in Drosophila. In fact, several recent studies have provided growing evidence for a role for Wnts in PCP. Mutations in Ror2, a Wnt coreceptor, lead to mild inner ear PCP defects, whereas inactivation of Cthrc1, a secreted glycoprotein that modulates Wnt function, genetically interacts with Vangl2 to modulate PCP (Yamamoto et al. 2008). Moreover, in the developing limb Wnt signaling has been shown to lead to the formation of a Ror2/Vangl2 complex that induces a dose-dependent phosphorylation of Vangl2 (Gao et al. 2011). Surprisingly, modulation of Wnt function only leads to minor defects in inner ear PCP, although this may be a result of functional redundancy, as multiple Wnts are expressed within the ear.

In addition to the core PCP genes, several genes that do not regulate PCP in Drosophila have been shown to play a role in PCP in vertebrates. For instance, mutations in Scrib, a cytoplasmic PDZ protein, and Ptk7, a transmembrane tyrosine kinase, lead to bundle orientation defects in vertebrates (Montcouquiol et al. 2003; Lu et al. 2004). These results suggest that the molecular regulation of PCP is not completely conserved between Drosophila and vertebrates.

Finally, recent work in human genetics has led to the discovery of a new class of syndromic mutations, all of which occur in genes associated with cilia and/or basal bodies (Pan et al. 2005; Lee and Gleeson 2011). As a result, these syndromes are referred to as ciliopathies. Examples include Bardet–Biedl syndrome and nephronophthisis. Analyses of mice or zebrafish with targeted mutations in cilia-related genes have indicated variable defects in stereociliary bundle formation and orientation and in hair cell and auditory function (Ross et al. 2005; Jones et al. 2008). These results are consistent with a role for the kinocilium in the formation of the stereociliary bundle, but the specific function of this structure remains to be determined.

### 6 Future Directions

Our understanding of the molecular pathways that regulate patterning and cell-fate decisions in the inner ear has increased significantly in the last decade. Many of the developmentally regulated genes described in this chapter are known to be associated with human hearing loss and they are summarized in table format (Table 1). Readers are referred to more comprehensive reviews on the subject of human deafness genes (Friedman et al. 2003; Leibovici et al. 2008; Dror and Avraham 2009). Nevertheless, many important and outstanding questions remain. How is axial information integrated at the cellular level? What are the crucial molecules that act downstream from Wnt and Shh to specify particular cell fates? Although the initial generation of the three primary axes is largely understood, inner ear development does not proceed autonomously once these axes are established. Continual local signaling is
required for reinforcement and refinement of positional identity and patterning. For example, Shh arising from the ventral midline is required for initial cochlear duct formation, whereas at later time points expression of Shh by the developing spiral ganglion neurons acts to pattern different aspects of the cochlear duct. However, the downstream pathways that are mediated by Shh are largely unknown.

Our understanding of how progenitors become specified as hair cells or supporting cells is still limited, as are the factors that generate the diversity of cell types within the inner ear. Within the cochlear duct, it is still not clear how the organ of Corti is restricted to a narrow stripe of cells, or how outgrowth of the duct correlates with cellular pattern. Finally, because of the directional nature of the stereociliary bundle, uniform orientation of these bundles (PCP) plays a crucial role in the function of the auditory and vestibular systems. Although some of the genetic factors that regulate this process have been identified, an understanding of how the protein products of these genes act to physically direct the stereociliary bundle to specific locations on the surface of each hair cell remains to be determined.

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